

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
3 February 2005 (03.02.2005)

PCT

(10) International Publication Number
WO 2005/009303 A1

(51) International Patent Classification⁷: **A61F 2/46**

(21) International Application Number:
PCT/CH2004/000466

(22) International Filing Date: 23 July 2004 (23.07.2004)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
PCT/CH03/00502 24 July 2003 (24.07.2003) CII

(71) Applicant and

(72) Inventor: **ECHEVERRI, Santiago** [CO/CH]; ch. de
Mont-Paisible 18, CH-1011 Lausanne (CH).

(74) Agent: **ROLAND, André**; Avenue Tissot 15, CH-1001
Lausanne (CH).

(81) Designated States (unless otherwise indicated, for every
kind of national protection available): AE, AG, AL, AM,
AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CII, CN,

CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI,
GB, GD, GE, GI, GM, GR, GU, ID, IL, IN, IS, JP, KE,
KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD,
MG, MK, MN, MW, MX, MY, NA, NI, NO, NZ, OM, PG,
PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM,
TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM,
ZW.

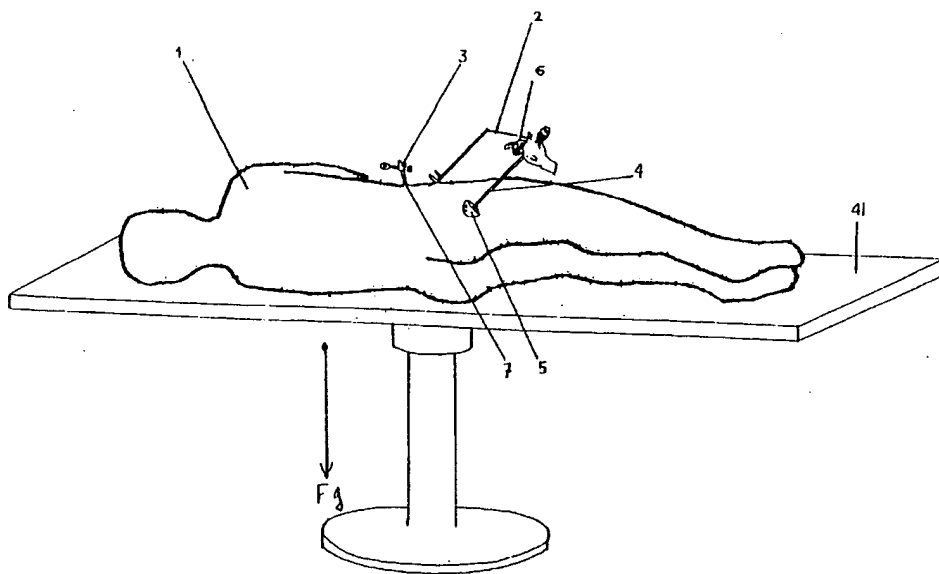
(84) Designated States (unless otherwise indicated, for every
kind of regional protection available): ARIPO (BW, GI,
GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM,
ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI,
FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI,
SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ,
GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the
claims and to be republished in the event of receipt of
amendments

For two-letter codes and other abbreviations, refer to the "Guid-
ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.

(54) Title: **ORIENTATION DEVICE FOR SURGICAL IMPLEMENT**



(57) Abstract: Orientation device (2, 3, 17) for surgical use includes a frame (35, 15, 39) and a level device (6) attached to the frame. The feature may be oriented with a reference such as the Antero Superior Iliac Spine, (7) the acetabulum or the operating table to position the pelvis or the device. The level device is adapted to define a reference plane. The device thus allows precise orientation with respect to the pelvis through use of a reference plane.

BEST AVAILABLE COPY

ORIENTATION DEVICE FOR SURGICAL IMPLEMENT

Field of the invention

The invention relates to the field of surgical operations and in particular to methods and apparatuses for correctly positioning a surgical implement (a surgical tool, prosthesis or implant).

The invention is in particular, but not exclusively, useful for accurately aligning an acetabular prosthetic element.

10 Background of the invention

Improved orthopaedic procedures have made joint replacement common practice. In total hip arthroplasty, both the femoral or ball aspect of the joint as well as the acetabular or socket portion are replaced with prosthetic implants. Several patent and non-patent references exist in this field.

15 Orientation of the femoral stem is universally considered as less complex. Orientation of the acetabular component is far more complicated, and techniques designed to improve it are far less developed. Some of the present techniques are inadequate due to the complexity. The literature has shown a lack of accuracy even among experienced surgeons when estimating intraoperative orientation of the acetabular cup with standard techniques.

Some techniques developed for computer assisted surgery are promising but present limitations of their own and it may be some time before patients can benefit. Its world wide availability is limited due to high costs and special training required. There are several reasons for the lack of progress in this area. The orientation of the acetabular cup requires an adequate orientation of the pelvis in three planes. Its anatomy may be altered by disease, even severely altered in cases of revision arthroplasty. Present mechanical techniques use the floor level and the longitudinal axis of the operating table as a reference. Some devices use bubble levels, see e.g. US patent 6,302,890; US Patent 25 5,700,268 or US patent 5,122,145, the content of which are incorporated by reference herein, but they only provide an indication with respect to one direction (mono-axis). The initial position of the pelvis in three planes (Yaw, Roll and Pitch) is ignored and therefore can lead to errors. The initial position is also known to change significantly during the surgical intervention. The second problem with standard orientation devices is the assumption that the orientation of the pelvis in terms of flexion/extension is always the same with respect to the axis of the table. Additionally, standard mechanical orientation

devices rely on visual references and therefore lack precision. In Minimally invasive surgery (MIS), small surgical incisions make it even more difficult to obtain adequate orientation of the components.

- 5 Many surgeons orient the acetabular component through subjective "feeling", a technique that has also been shown in the literature to be highly inaccurate and imprecise even in the most experienced hands. Studies in saw bone models comparing free hand techniques and standard mechanical orientation devices with computer assisted navigation have clearly shown the lack of accuracy and precision of both techniques.
- 10 Lack of optimal orientation of both components acetabular cup and shaft as a couple, has been shown to result in limited range of motion due to impingement which leads to dislocation, early wear and loosening of the components.

- The situation has lead to the use of imperfect techniques associated with prosthetic
- 15 acetabular implantation, often involving the use of manually operated instrumentation which rely only on the surgeons hand and eye coordination to install the implant and occasionally relying on visual markers.

- Studies have confirmed the importance of precise and matching positioning of both the
- 20 acetabular and the femoral component. There is increasing awareness that improved reproducibility in component orientation fosters improved range of motion (before impingement of the implant to the cup prosthesis), implant longevity, reduced wear of the acetabular component and lower rates of dislocation.

- 25 Clinical epidemiology studies have extensively studied the sources of random errors (lack of precision) and systematic errors (lack of accuracy) for diagnostic or measuring tools such as the Gravity Assisted Navigation System in clinical practice. They have been divided into three aspects:

- Observer variability
- 30 -Subject variability (Differences among patients)
- Instrument variability

- There is therefore a need for a simple to use and inexpensive instrumentation and techniques that can be applied to any type of hip prosthesis in either lateral or dorsal
- 35 decubitus.

Summary of Invention

The objectives of the invention are achieved with a orientation device adapted for a surgical instrument made of a shaft having a distal end adapted to hold a surgical tool or prosthesis and a proximal end adapted to be held by a surgeon hand or by a robot arm.

The orientation device comprises :

- instrument fixing means for fixing the orientation device to the instrument,
- linear pointing means for pointing at least one reference point fixed to a patient, the reference point may be either an anatomical reference or a marker fixed to a specific part of the patient,
- orientation means.

The orientation device is characterized by the fact that the orientation means are adapted to define a reference plane, i.e. the orientation means are of the dual-axis type, namely they allow the definition of the instrument orientation along two directions, defining thereby one plane. In the present case, the reference plane is perpendicular to the direction of the gravity force.

The orientation means may be adjustable, i.e. their orientation can be adapted according to the patient anatomy and/or the surgeon wishes. The adjustment can be made by any means commonly used, e.g. mechanical, electromechanical, etc...

Preferably the orientation device is a guide that can be easily released from the instrument so the instrument fixing means are adapted to allow a quick fixation or release of the orientation device to/from the instrument. The orientation device may be also permanently fixed to the instrument or be an instrument unto itself.

The linear pointing means may be made of a shaft with a free distal end adapted to aim at the reference point. The guide shaft is adapted to be parallel to the instrument shaft when the guide and instrument are fixed together. The plane defined by both shafts helps the surgeon to orientate the instrument when the guide shaft free end points towards the reference point. The guide can be calibrated in order to use a particular anatomic reference point. The ASIS (Antero Superior Iliac Spine) being the preferred reference point that has been validated by the inventor using pelvic CT scans in patients to evaluate the morphologic variability.

In one embodiment of the invention, the guide shaft free end comprises two small parallel shafts oriented in a plane parallel to the plane defined by the instrument and guide shafts. The two small shafts act as a support for a surgeon finger in order to properly orientate the guide shaft with the reference point. More precisely, the surgeon finger forms a bridge
5 between the guide free end and the reference point.

Instead of a shaft, the linear pointing means may be made of a laser beam.

In a preferred embodiment, the orientation means are of the electronic type. Such a
10 system offers several advantages, in particular in computer assisted surgery where the level indication can be transmitted to a processing unit.

Alternatively the orientation means may be of the bubble or fluid type allowing thereby the use of a relatively inexpensive device.

15 In certain cases, the orientation device may have two orientation means, e.g. one for determining an orientation with a left hip and one for determining an orientation with a right hip.

20 In acetabular cup positioning, a pelvic positioner may be associated with the guide, the pelvic positioner comprising two feelers for contacting two distinct part of the pelvis and orientation means. The orientation means allow for strict positioning in lateral decubitus by assuring alignment of the feelers and hence the right and left ASIS with the vertical (direction of the force of gravity and the direction of the reaction to the force of gravity). In
25 the case of a THR in dorsal decubitus, a positioner with three feelers can be used in order to align the anatomic plane of the pelvis defined by both ASIS and the pubis with respect to the vertical.

In another embodiment, an orientation device is used as a witness of pelvic orientation
30 during surgery. The orientation witness comprises orientation means and fixing means for fixing the witness to the pelvis. Set to zero after aligning the pelvis in lateral or dorsal decubitus with the help of the pelvic positioner the orientation means allow to detect any change in pelvic position during the surgical intervention and to come back to the initial position at the time of cup orientation.

35

In another embodiment the guide also comprises an angle measuring device for measuring the angle between references points such as the ASIS (Antero Superior Iliac Spine) and the HJC (Hip Joint Center).

- 5 In acetabular cup alignment the method takes into consideration the adequate orientation of the pelvis to assure the desired position of the acetabular cup with respect to directions which are directly or indirectly derived from the direction of the force of gravity. The invention is characterized by the fact that, contrary to prior art devices which generally aid in the orientation of the hip, the invention allows precise orientation of the
10 device with respect to the pelvis through use of a reference plane.
- The method also permits measurement of the orientation of a cup that is already in place. This can be done by adapting the guide to the cup, and after aligning the pitch pointer to the ASIS, the level holder is bent setting the bubble to zero. It can then be adapted to a calibrating apparatus to measure the orientation both in abduction and anteversion. In an
15 electronic device, it would be read off the dial.

The calibrating apparatus may comprise angle reproducing means for reproducing the angle at the ASIS between the pubis and the HJC as measured with the guide.

- In this case, the assembly made of the guide and the instrument preferably comprise an
20 angle measuring device (goniometer) for measuring the said angle.

- The instrument may be calibrated to assure a desired orientation depending on the design of the cup and the anteversion of the femoral stem or the specific pelvic flexion/extension of the patient. All this in order to obtain maximum impingement-free
25 range of motion. This improves with simple means the accuracy and precision particularly of the acetabular cup that is lacking with present methods. A well known fact in clinical epidemiology states that any effort to improve precision improves accuracy.

- The invention focuses on studying sources of error of acetabular orientation from a
30 Clinical Epidemiology point of view in order to provide improved precision and accuracy by reducing instrument variability (See Designing Clinical Research, An Epidemiologic Approach, Hulley, Williams and Wilkins 1988).

- Sources of Systematic Errors (Adapted to standard mechanical guides for cup
35 orientation)

- Instrument Variability (guide used)

- Differences resulting from the instrument used.
 - Eye coordination in two different planes
 - Does not take into account pitch angle for axis of anteversion (but orientation of the table)
 - 5 • Use of a mechanical guide that can not be calibrated with a gold standard.

Having identified the problem, the guide was created as a solution that complies with the standard strategies of clinical epidemiology for improving reliability of measuring
10 instruments.

Strategies to increase precision (i.e., avoid random error, see Designing Clinical Research, An Epidemiologic Approach, Hulley. Williams and Wilkins 1988.)

- 15 • Standardising the methods for placement of the femoral and acetabular components by increasing objectivity.
- Training the surgeons in the standard techniques: Guide with Gravity Assisted Navigation System facilitates and improves training of surgeons.
- Improve patient positioning: Guide with Gravity Assisted Navigation System facilitates and improves patient positioning.
- 20 • Refining the instruments used for orientation of the components: making it easier to use allowing use by looking at it from different angles.
- Automating the instrument (avoid human error)
- Repeating the measurement

25 Strategies to increase Accuracy (i.e., avoid bias; see again, Designing Clinical Research, An Epidemiologic Approach, Hulley. Williams and Wilkins 1988.)

- Standardising the methods in an operations manual.
- Training the surgeons in the standard (i.e reproducible) techniques.
- Improve patient positioning
- 30 • Refining the instruments used for orientation of the components.
- Automating the instruments
- Calibration of the instruments and the "position of the patient" (WITH A GOLD STANDARD)

Detailed description of the invention

5 The invention will be discussed in a more detailed way here below. To this effect some examples, together with corresponding drawings, will be used.

Figure 1A shows an embodiment of a guide according to the invention.

Figure 1B shows an alternate embodiment of the guide, with a laser pointer.

10 Figure 2 shows a pelvic positioner

Figure 3 shows the pelvic positioner of figure 2 from above with a bubble level.

Figure 4 shows the pelvic positioner of figure 2 being placed close to a pelvis.

Figure 5 shows the guide of figure 1 in use.

Figure 6 is an enlarged view of the guide of figure 1 in use.

15 Figure 7 illustrates the different operating actions when using the guide of figure 1.

Figure 8 is an exploded view of a calibrating apparatus.

Figure 9 shows the calibrating apparatus of fig. 8 in use with the guide of figure 1. Figures

10 shows a pelvic orientation witness without its bubble level.

Figure 11 shows a pelvic orientation witness linked to a pelvic fixator.

20 Figure 12 shows a guide and an instrument separated from each other.

Figure 13 shows the guide and the instrument of figure 12 fixed to each other.

Figure 14 illustrates the way to position the guide with respect to the ASIS.

Figure 15 shows the relation between the guide, instrument and the pelvic orientation witness.

25

List of numerical references

- | | | |
|----|----|--------------------------------------|
| | 1. | Patient in strict lateral decubitus |
| | 2. | Orientation device/guide |
| 30 | 3. | Orientation device/witness |
| | 4. | Acetabular prosthetic cup instrument |
| | 5. | Acetabulum |
| | 6. | Guide bubble levels |
| | 7. | ASIS |
| 35 | 8. | Guide shaft |
| | 9. | Right indicator |

10. Left indicator
11. Fixing means
12. Pointer
13. Pelvis/ iliac crest
- 5 14. Prosthetic cup
15. Pelvic witness fixator / frame
16. Pelvic witness orientation means
17. Pelvic positioner
18. Support
- 10 19. Pelvic positioner orientation means
20. Bar
21. Length adjusting means
22. Pelvic feeler
23. Calibrating apparatus
- 15 24. Basis
25. Bubble level
26. Height adjusters
27. Scale for measuring anteversion mounted on a Gimbal mechanism
28. Calibration fork for right hip
- 20 29. Calibration fork for left hip
30. Axis of rotation for the anteversion
31. Scale for abduction
32. Axis of rotation for abduction
33. Abduction and anteversion to be read off the edges of the disk
- 25 34. Threaded hole for fixing cup positioner
35. Frame of Guide
36. Thread/implement fixing means
37. Surgical instrument
38. Laser pointer
- 30 39. Frame of pelvic positioner
40. Pad of pelvic positioner (to rest on operating table)
41. Operating table (plane)

The orientation device shown in figure 1A is a guide 2 and comprises a frame 35, a fixing means 11 which are adapted to be fixed to an acetabular prosthetic cup instrument 4 (see also figures 5,6,7,9, 12 and 13), and a guide shaft 8 with pointers at its free end. Bubble levels 6, consisting of one right 9 and one left 10 indicators, are fixed to the fixing means

11. The bubble level orientation can be adapted (calibrated) by deformation of the bubble level support.

The pointers 12 help to align the guide shaft 8 with the ASIS 7 (see figures 5, 6 and 14).

- 5 Referring now to figure 1B, a laser pointer 38 is substituted for pointers 12. The laser pointer 38 produces a laser beam which the operator points at the ASIS 7.

Figures 2 to 4 show a pelvic positioner 17 made of frame 39 having an adjustable support 18 incorporating a two-dimensional bubble level 19 and two bars 20 having at their free end pelvic feelers 22. The bubble level 19 helps to position the pelvis in strict lateral decubitus using as a reference the antero superior iliac spines (ASIS) and the direction of the force of gravity F_g .

10 The bars 20 must come into contact with ASIS 7 through palpation with, for example, middle and annular fingers, which allows identification of the pelvic position. A pad 40 on an end thereof provides a reference surface which, when placed against the plane of the operating table 41 ensures perpendicular orientation therewith.

Figure 5 shows a patient in strict lateral decubitus.

20 The correct orientation of the guide 2 is obtained when the bubble level 10 is at zero. The pelvic orientation is permanently checked with another embodiment of the invention, an orientation witness 3 (see also figure 6), made of bubble level 16 linked to a fixator 15 fixed to the iliac crest 13. The fixator 15 may be made of a "SHANZ" pin and clamp of a "HOFFMANN II"™ hand external fixator, allowing fixation to the iliac crest 13 via a Shanz Pin (commercially available).

25 The right 9 and left 10 indicators precisely identify the direction of the force of gravity F_g giving the adequate orientation in abduction and anteversion previously calibrated at manufacture or by the surgeon taking into account two points reference. Thanks to these two points, e.g. ASIS 7 and the instrument shaft free end, the flexion/extension of the pelvis the "Pitch" is taken into account.

30

Figure 6 more clearly shows the orientation of the pelvis 13 in lateral decubitus. It represents an axial view showing anteversion of the cup 14.

Figure 7 indicates the different operating steps when using the guide 2.

- 35 A : Control of the pelvic orientation in real time.
B : Alignment of the pointers 12 with the ASIS 7.

C : Permanent visualization of the pelvis 13 and implement 4, 37 orientation.

D : Impacting once desired orientation has been obtained.

The desired orientation of the guide 2 is obtained with the callibrating apparatus
5 illustrated in figures 8 and 9. The bubble level 6 can be bent (made of bendable material) centering the bubble and thus recording the desired orientation.

Desired anteversion 32 or "recorded" anteversion is read off these edges.

Desired abduction 33 or recorded abduction is read off these edges.

10 On the calibrating apparatus, the distance between the forks can be altered so as to calibrate for the specific angle of the patient (i.e. the angle between the HJC and the pubis at the ASIS). It should be noted at this point that this angle may change from 33 to 41 degrees from one patient to the other.

15 Figures 10 shows a pelvic orientation witness 3 made of a planar support and a shaft. The planar support has two flexible elements which are adapted to hold a bubble level in a similar way as shown on figure 3.

The orientation witness 3 (see figure 11) is pivotally linked to a fixator 15 which has a free
20 end adapted for being fixed to the pelvis.

In operation, the embodiment of the invention which is a guide which attaches to a surgical instrument, is used in the following manner:

- 25 1- Install the patient 1 in strict lateral decubitus and fix the pelvis 13 in the usual fashion with the pubic and sacral supports.
- 2- Control that the ASIS 7 are parallel to the direction of the force of gravity F_g with pelvic positioner 17 (see figure 4). This controls the initial position of the pelvis 13
30 in "Roll" and "Yaw".
- 3- Proceed to disinfection and draping of the extremity. Through a two centimetre skin incision over the iliac crest, implant a Shanz pin 15.
- 35 4- Using a clamp from a Hoffman II external hand fixator, set to zero the orientation witness or any other clamping device. The pin must be blocked to the iliac crest to

- void rotation. 3. These will allow resetting of the pelvis 13 to the initial desired position with respect to the direction of the force of gravity F_g at key intervals during surgery, such as acetabular reaming and cup impaction (see figure 14). This avoids the possibility of correct positioning with respect to direction of the force of gravity F_g but erroneous with respect to the pelvis 13.
- 5
- 5- Continue with an usual surgical technique until the last reaming. Check that the pelvis 13 is in the initial desired position by looking at the orientation witness 3 (see figure 15) and correct if necessary by moving the pelvis 13 until the bubble is set to zero.
- 10
- 6- For a factory pre calibrated guide, place the last reamer 37 inside the acetabulum, place the pointer 12 over the easily palpable ASIS 7, move the guide 2 until the bubble is centered identifying with precision the direction of the force of gravity F_g .
- 15
- 7- Run the reamer in that position to obtain a cavity in the precalibrated orientation (Abduction and Anteversion).
- 8- To place the final cup 14, adapt the guide 2 and the cup positioner 4, repeat step 5 and then 6.
- 20
- 9- To measure the cup 14 orientation in a patient, adapt the cup positioner 4 to the cup 14, check that the pelvis 13 is adequately oriented with the orientation witness 3 and correct if necessary. Align the pointer 12 with the ASIS 7 and bend the support of the bubble level 6 until the bubble is centered identifying with precision the direction of the force of gravity F_g .
- 25
- 10- Screw the cup positioner 4 and the guide 2 on to the calibrating apparatus 23.
- 30
- 11- Move both elements 4,2 of the instrument until the bubble is centered identifying the direction of the force of gravity F_g while placing the pointer 12 over one of the forks 28,29 that simulate the ASIS 7 location and read from the disc 27,31 the corresponding abduction and anteversion..
- 35

The present invention offers several advantages.

5 In a first advantage, enhanced accuracy and precision of acetabular cup orientation is attained, both abduction and anteversion of the cup by providing real time pelvic and acetabular cup orientation.

In another advantage, it allows precise reaming of the cavity in the desired orientation.

10 In another advantage, the invention helps control the desired orientation of the pelvis in strict lateral decubitus or strict dorsal decubitus hence controlling the pelvic position in the three planes at the time of cup insertion.

15 In another advantage, the invention provides ease of use without requiring the surgeon to look at the guide from a specific point of view. Seeing the bubble or bulls' eye level is enough to determine the orientation.

In another advantage, the invention is low cost and little training is required, making it available to surgeons even in developing countries.

20 In another advantage, the invention is useful in minimally invasive surgery techniques MIS.

In another advantage, no more time required than with existing techniques.

25 In still another advantage, the invention allows the surgeon to calibrate his instrument to the desired orientation (abduction and anteversion).

30 In still another advantage, the invention allows orientation of acetabular cups already in situ can be reliably measured with the guide.

In another advantage, the invention is adaptable to existing ancillary and current surgical techniques in dorsal decubitus, lateral decubitus, cemented, uncemented, anterolateral or posterolateral approaches.

35 Instead of using bubble levels, electronic devices identifying the direction of the force of gravity may be adapted to all the instruments mentioned.

Further, instead of pointing to a reference point on a patient, the invention can be adapted so as to point at a reference point associated with the patient, such as a point on a device which mounts on the patient (thereby, indirectly pointing at a point on a patient).

5

Further, in the present description, discussion about the prior art and detailed description of the invention are limited to the positioning of an acetabular prosthetic element. It should however be noted that the object of the invention and its claimed subject-matter are not limited to this specific use.

10

Multiple variations and modifications are possible in the embodiments of the invention described here. Although certain illustrative embodiments of the invention have been shown and described here, a wide range of modifications, changes, and substitutions is contemplated in the foregoing disclosure. In some instances, some features of the present invention may be employed without a corresponding use of the other features. Accordingly, it is appropriate that the foregoing description be construed broadly and understood as being given by way of illustration and example only, the spirit and scope of the invention being limited only by the appended claims.

15

20

Claims

1. Orientation device (2, 3, 17) for surgical use, said device comprising:
 - a. a frame (35, 15, 39) including at least one feature (12, 40, 22, 15) which is
5 oriented with at least one reference (7, 5, 27, 41);
 - b. an orientation means (6) attached to the frame; characterized by the fact that
said orientation means (6) are adapted to define a reference plane.
2. Orientation device (2, 3, 17) of claim 1, wherein the orientation means (6) is a two-
10 dimensional level device.
3. Orientation device (2, 3, 17) of claim 2, wherein the level device is a bubble level.
4. Orientation device (2, 3, 17) of one of claims 1 or 2, wherein the level device is an
15 electronic level.
5. Orientation device (17) of any one of claims 1 to 4, wherein at least one reference is a
point on or associated with a pelvis and another reference is a plane of an operating
table.
20
6. Orientation device (17), wherein two references are the antero superior iliac spines
(7).
7. Orientation device (17) of one of claims 5 or 6, wherein the device has adjustable
25 feelers (22) so as to permit calibration of the device to reference points (7) on the
pelvis.
8. Orientation device (3), wherein the frame (15) is a pin and the feature is a thread (36)
which is screwed into the pelvis and fixed against rotation with respect thereto and
30 wherein the reference is a point (13) on the pelvis at which the thread enters the
pelvis.
9. Orientation device (2) of one of claims 1 to 4, wherein the frame (35) has a distal end
adapted to hold a surgical implement (4, 37, 5, 14), a proximal end adapted to be held
35 by an operator's hand or by a robot arm, and a linear pointing means (8) for pointing
to at least one reference point (7) associated with a patient, and wherein an

implement fixing means (36, 11) is disposed on the distal end of the frame for fixing the frame to said implement.

10. Orientation device (2) according to claim 9, wherein said linear pointing means
5 comprises a laser pointer (38) adapted to aim at said reference point (7), said laser and instrument shaft being parallel.
11. Orientation device (2, 3, 17) according to any one of claims 1 to 9, wherein said
10 orientation means (6) is adjustable.
12. Orientation device (2, 3, 17) according to any one of claims 9 or 11 wherein said
implement fixing means (11) is adapted to allow a quick fixation or release of the
device (2) to/from the implement (5).
13. Orientation device (2) according to any one of claims 9 to 12, wherein said linear
15 pointing means (11) comprises a shaft (8) with a free distal end adapted to aim at said
reference point (7), said guide shaft (8) and instrument shaft being parallel.
14. Orientation device (2) according to any one of claims 9 to 12, wherein said linear
20 pointing means comprise a laser beam adapted to aim at said reference point (7),
said laser beam and instrument shaft being parallel.
15. Orientation device (2) according to any one of claims 9 to 14, to be used with an
acetabular prosthetic cup instrument (4) having a distal end for receiving either a
25 reamer or a cup positioner, said linear pointing means being adapted to aim at a
reference point (7) fixed to, or being part of, the pelvis.
16. Orientation device (2) according to claim 1 to 4, 9 to 14 comprising left hip orientation
means (10) for orientating said device (2) with respect to the left hip and right hip
30 orientation means (9) for orientating said device (2) with respect to the right hip.
17. Orientation device (2) for surgical implement (5), said orientation device comprising:
a. a frame (35) having a distal end adapted to hold the surgical implement, a
proximal end adapted to be held by an operator's hand or by a robot arm, and a
35 linear pointing means (8) for pointing to at least one reference point (7)
associated with a patient;

- b. implement fixing means (36) disposed on the distal end of the frame for fixing the frame (11) to said implement (5);
- c. orientation means (6) attached to the frame, characterized by the fact that said orientation means (6) are adapted to define a reference plane.

5

18. Guide (2) for surgical instrument (4) made of a shaft having a distal end adapted to hold a surgical implement and a proximal end adapted to be held by a surgeon hand or by a robot arm, said guide (2) comprising
- instrument fixing means (11) for fixing said guide (11) to said instrument (8,5),
 - 10 - linear pointing means (8) for pointing at least one reference point (7) associated with a patient,
 - orientation means (6), characterized by the fact that said orientation means (6) are adapted to define a reference plane.

- 15 19. Guide according to claim 18 wherein said orientation means (6) are adjustable.

20. Guide according to claim 18 or 19 wherein said instrument fixing means (11) are adapted to allow a quick fixation or release of the guide (2) to/from the instrument (4).

- 20 21. Guide according to any one of claims 17 to 19 wherein said linear pointing means comprise a shaft (8) with a free distal end adapted to aim at said reference point (7), said guide shaft (8) and instrument shaft being parallel.

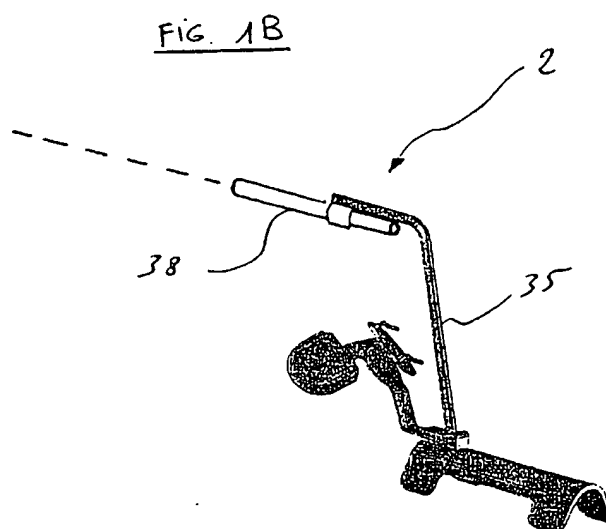
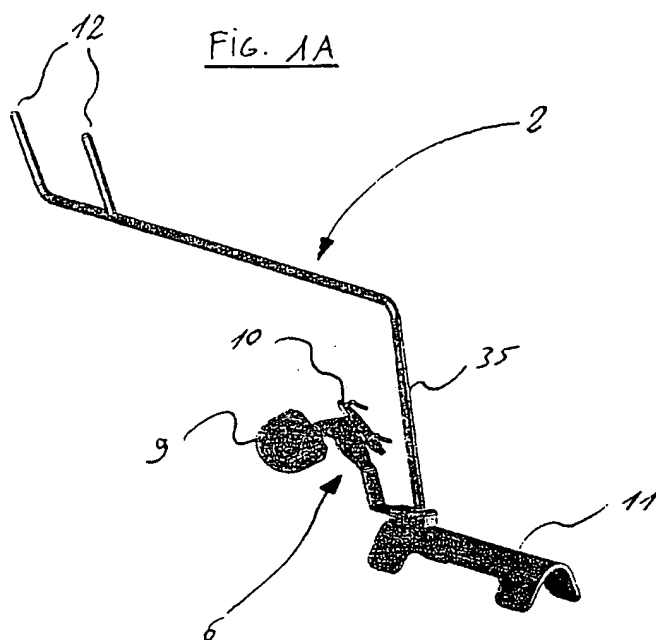
22. Guide according to any one of the previous claims 18 to 21 wherein said linear
25 pointing means comprise a laser pointer adapted to aim at said reference point (7), said laser pointer and instrument shaft being parallel.

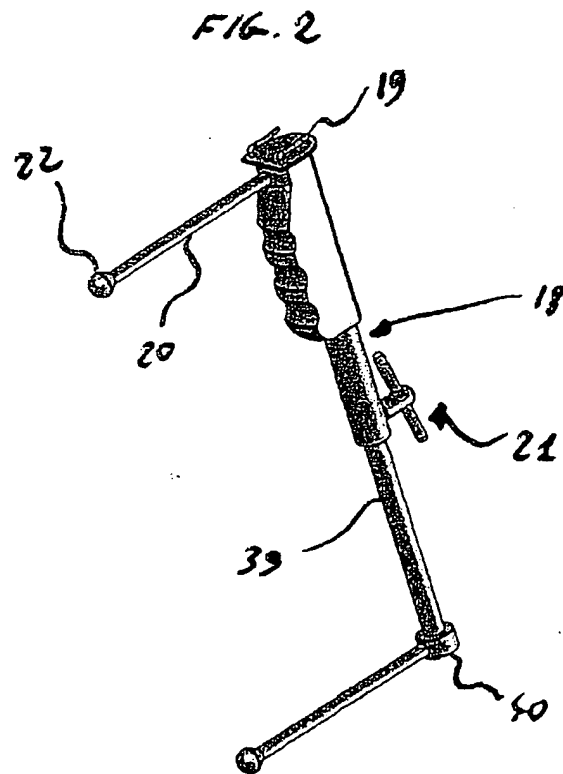
23. Guide according to any one of claims 18 to 22 adapted to be used with an acetabular
30 prosthetic cup instrument (4) having a distal end for receiving either a reamer or a cup positioner, said linear pointing means being adapted to aim at a reference point (7) fixed to, or being part of, the pelvis.

24. Guide according to claim 23 comprising left hip orientation means (10) for orientating
35 said instrument (4) with respect to the left hip and right hip orientation means (9) for orientating said instrument (4) with respect to the right hip.

25. Kit for acetabular prosthetic cup positioning comprising a guide (4) as defined in any of claims 8 or 9 and a pelvic positioner (17), said pelvic positioner (17) comprising two arms (20) with respective feelers (22) and orientation means (19).
- 5 26. Kit for acetabular prosthetic cup positioning comprising a guide (2) as defined in any one of the previous claims 23 or 24 and/or a pelvic positioner (17) as defined in claim 24, said kit furthermore comprising a pelvic orientation witness (3) which comprises pelvic fixing means (15) for fixing said pelvic orientation witness (3) to the pelvis (13) and orientation means (16).
- 10 27. Assembly made of the guide (2) and the instrument (4) as defined in claim 22 or 23, said assembly comprising an angle measuring device for measuring the angle between ASIS and HJC.
- 15 28. Calibrating apparatus (23) for calibrating a guide (2) and an instrument (4) as defined in the previous claims 22 to 26, said calibrating apparatus (23) comprising angle reproducing means for reproducing the angle between ASIS (7) and HJC as measured with the device of claim 12.

20





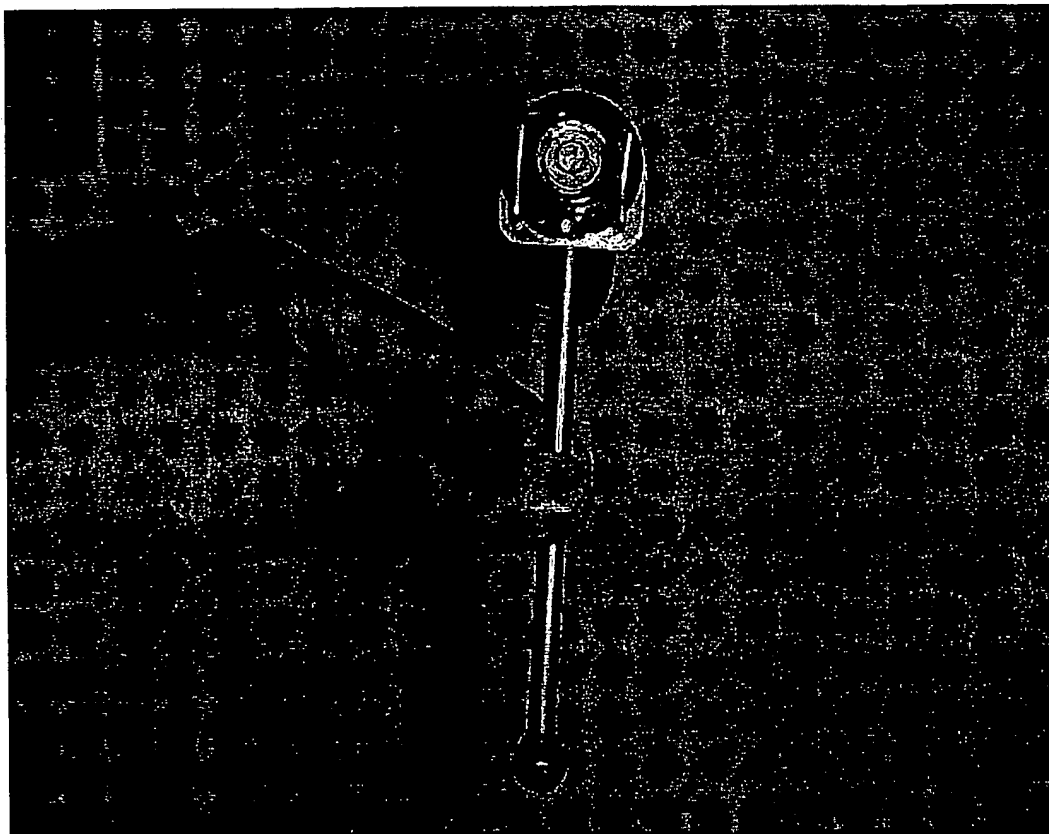


FIG. 3

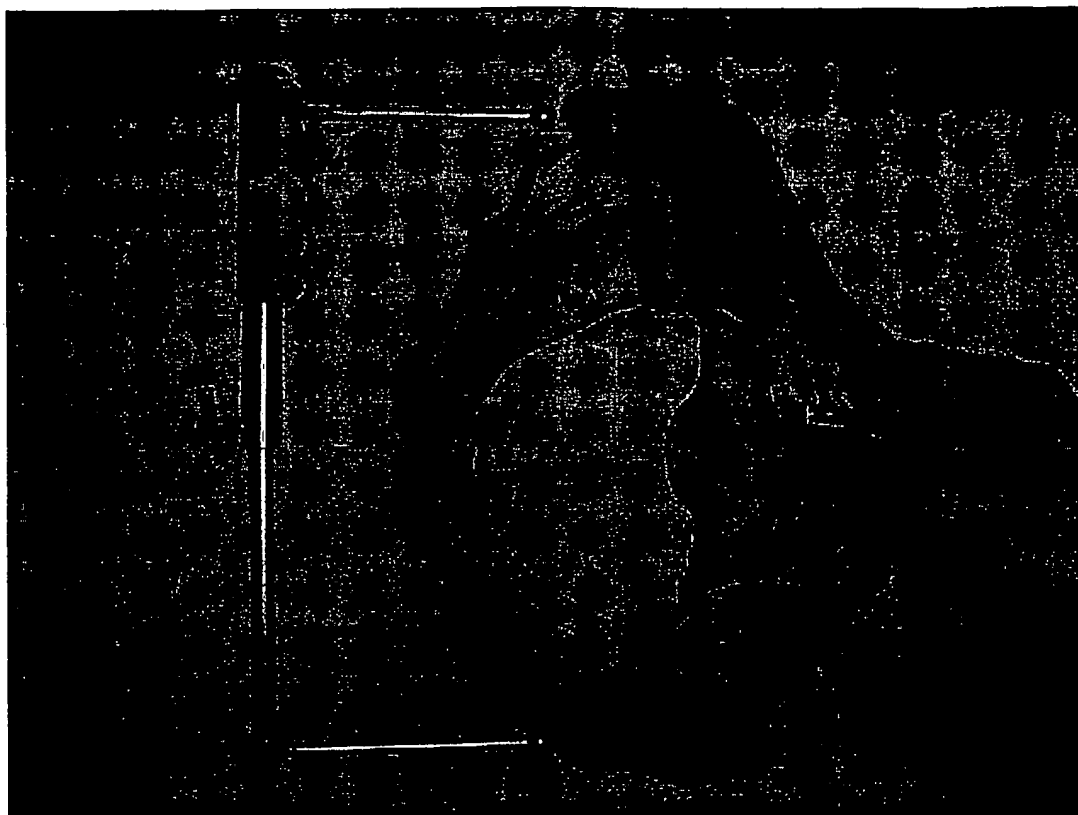


FIG. 4

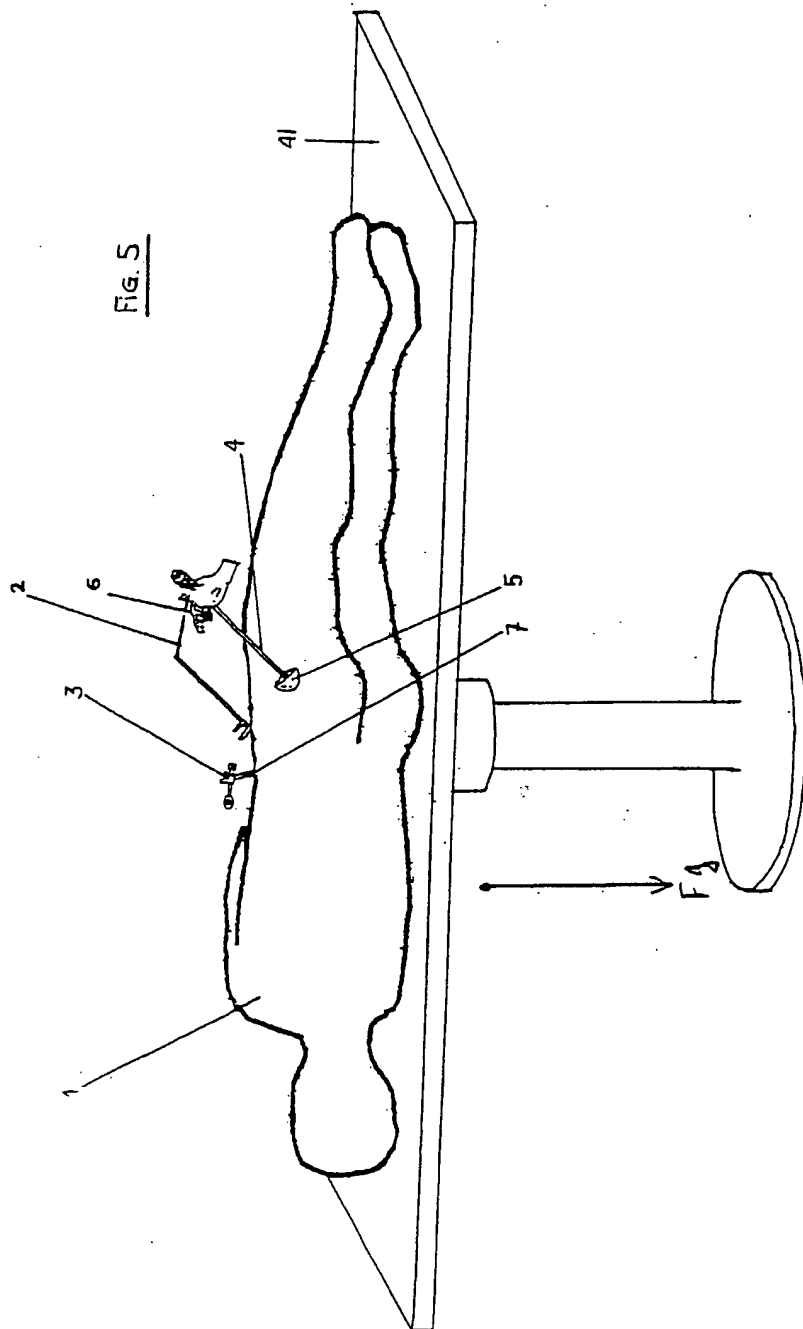
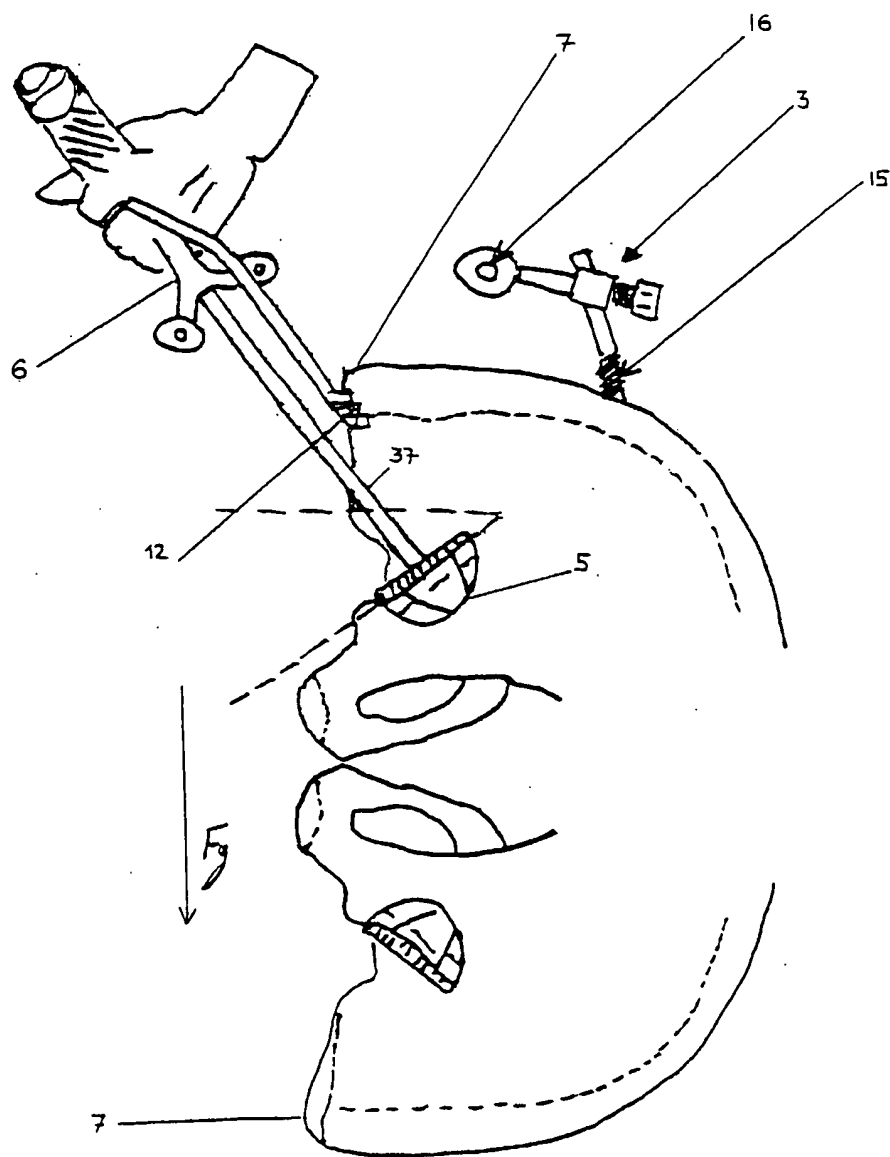
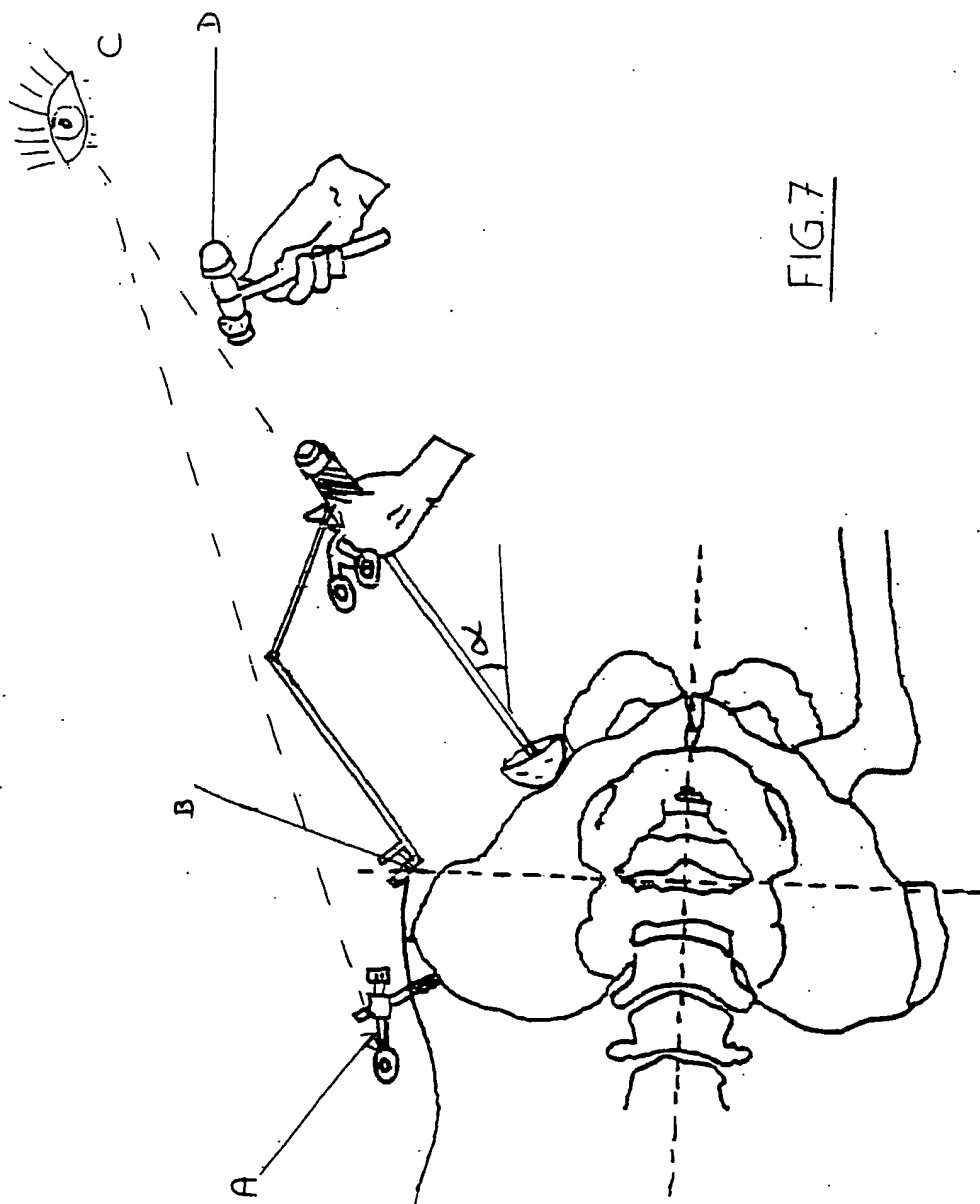


FIG. 6





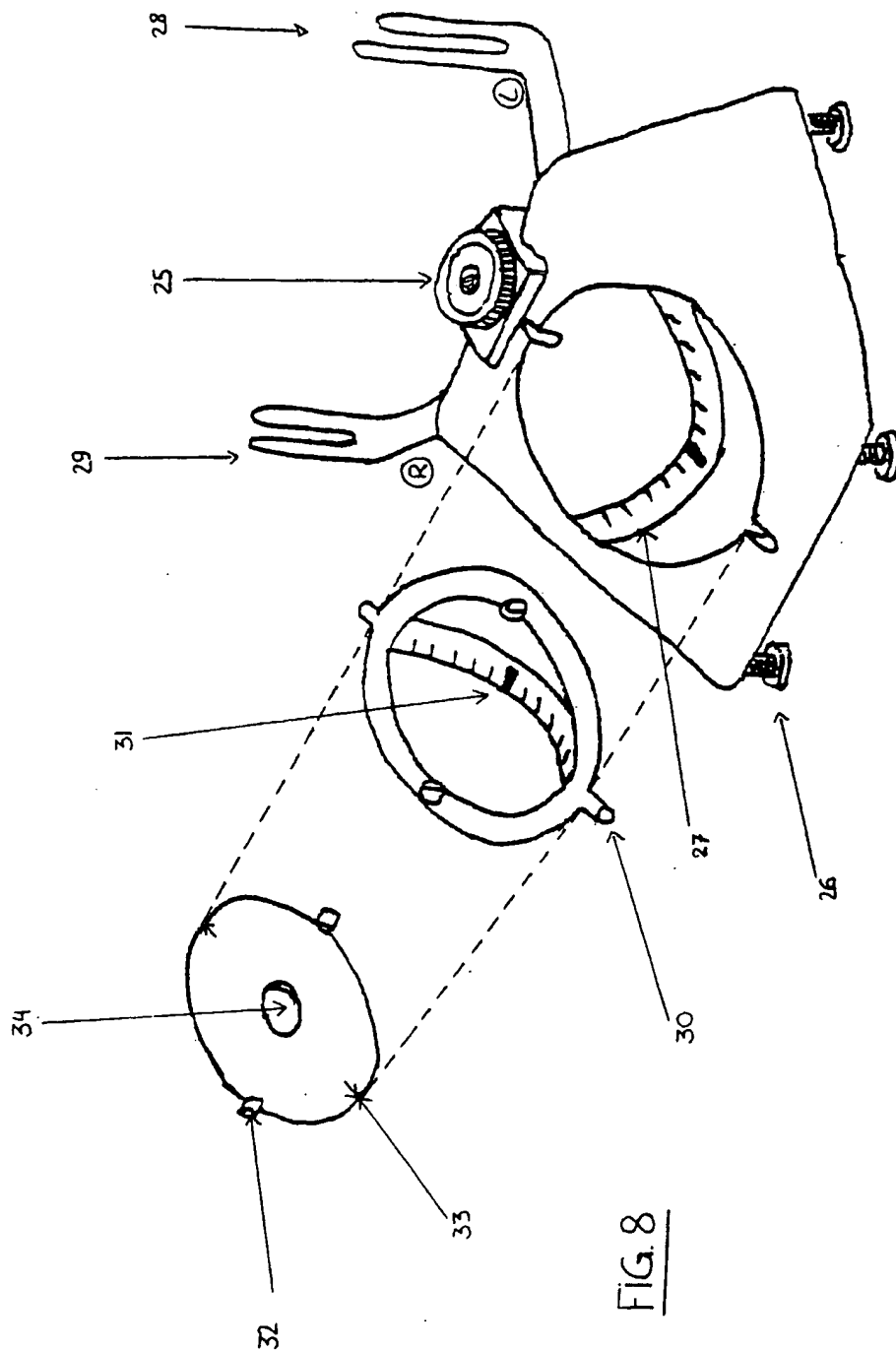


FIG. 8

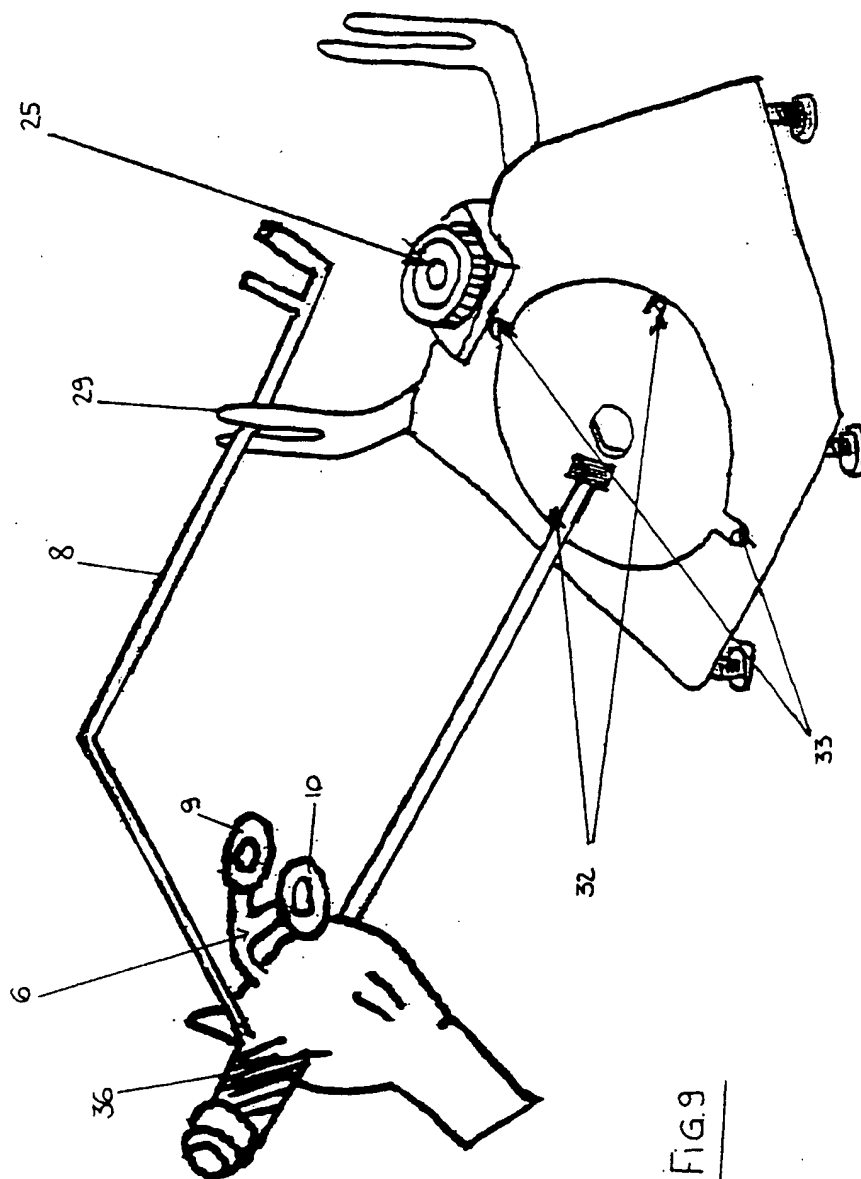
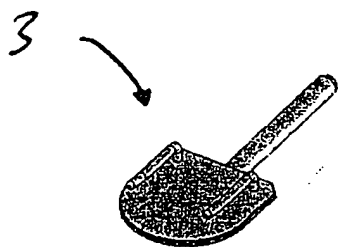


FIG. 10



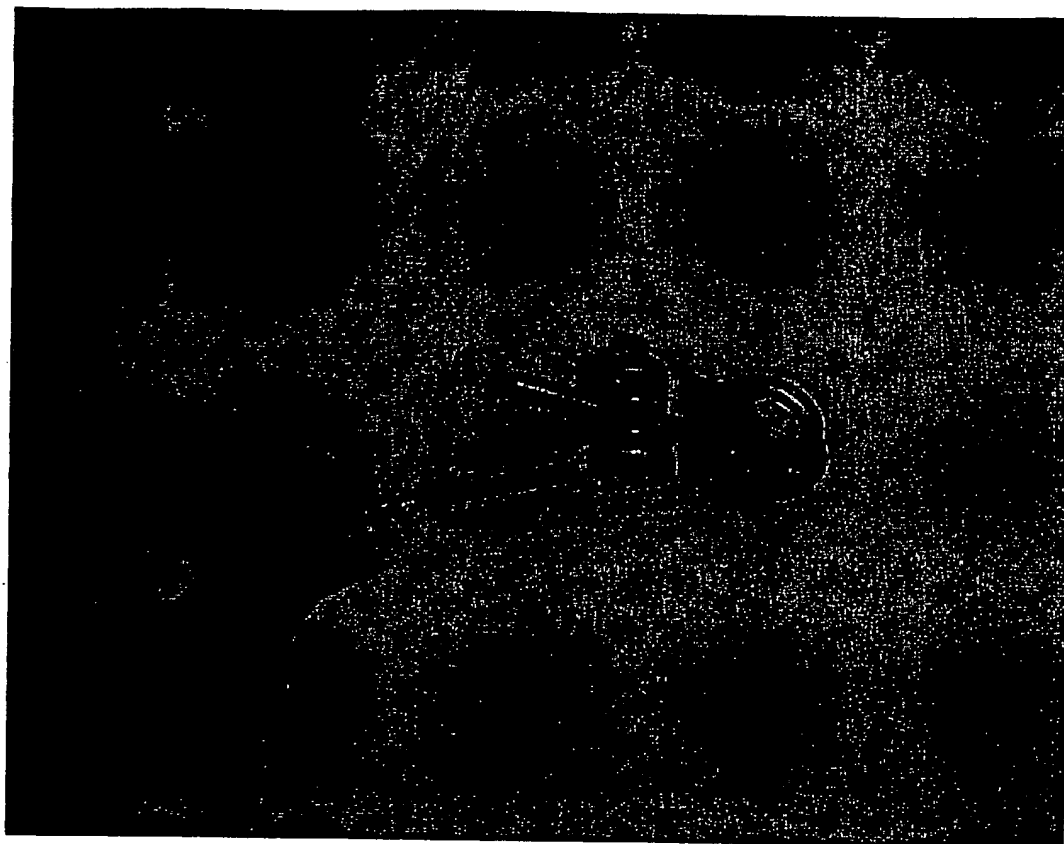


FIG. 11

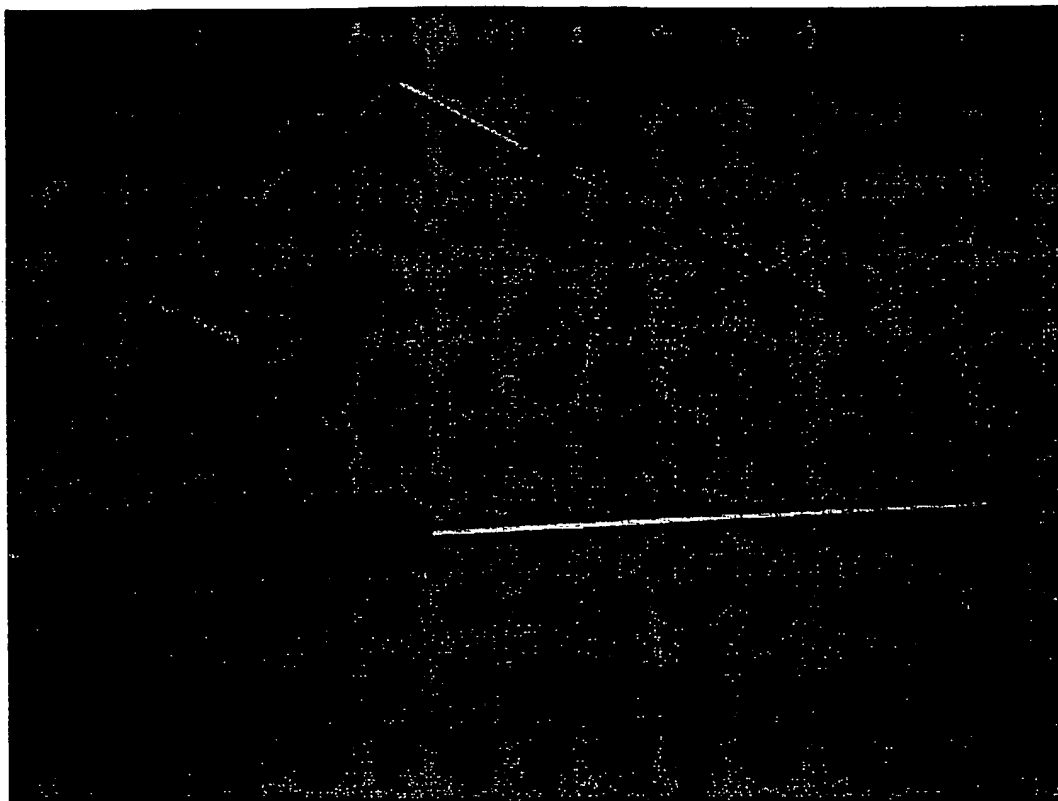


FIG. 12

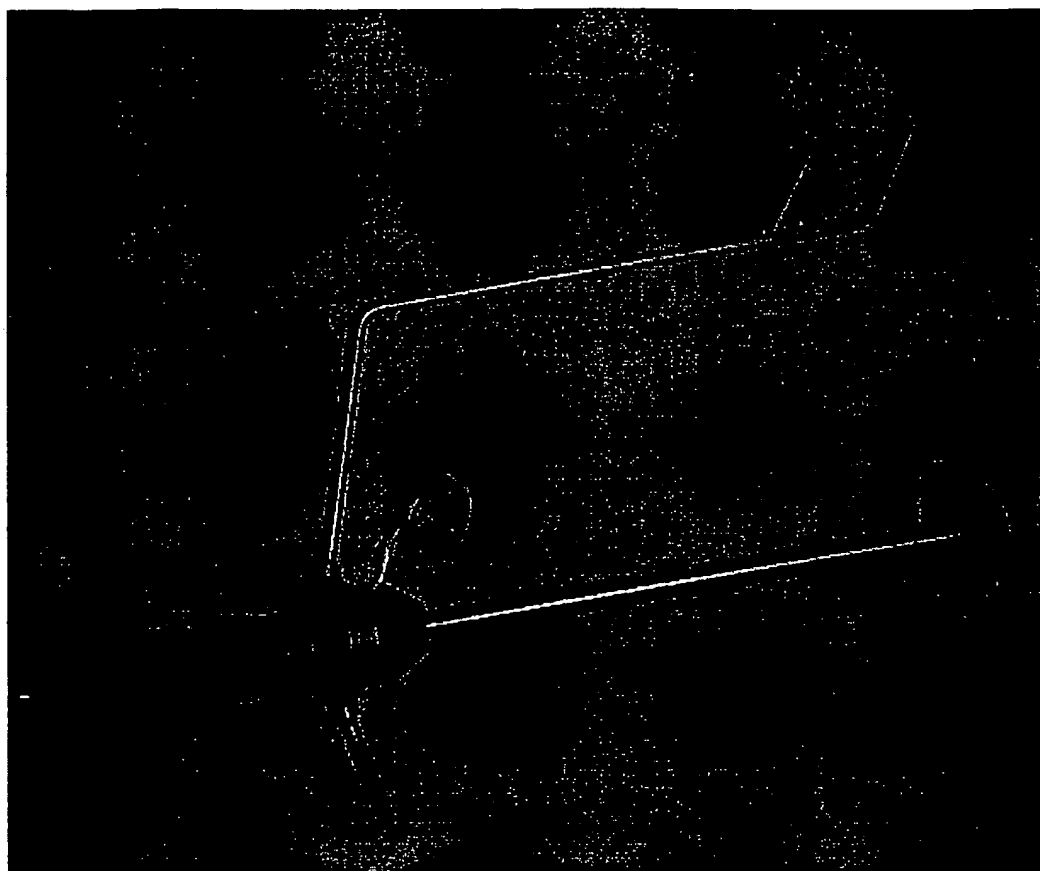


FIG. 13

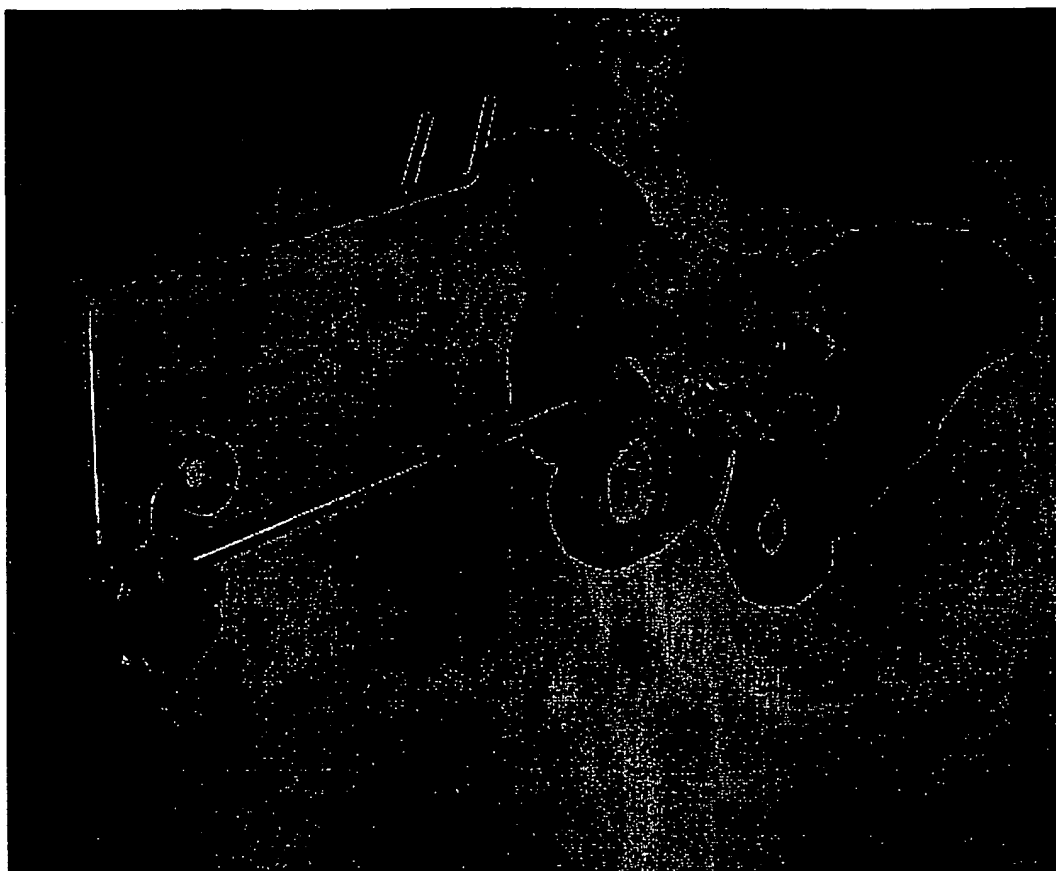


FIG. 14

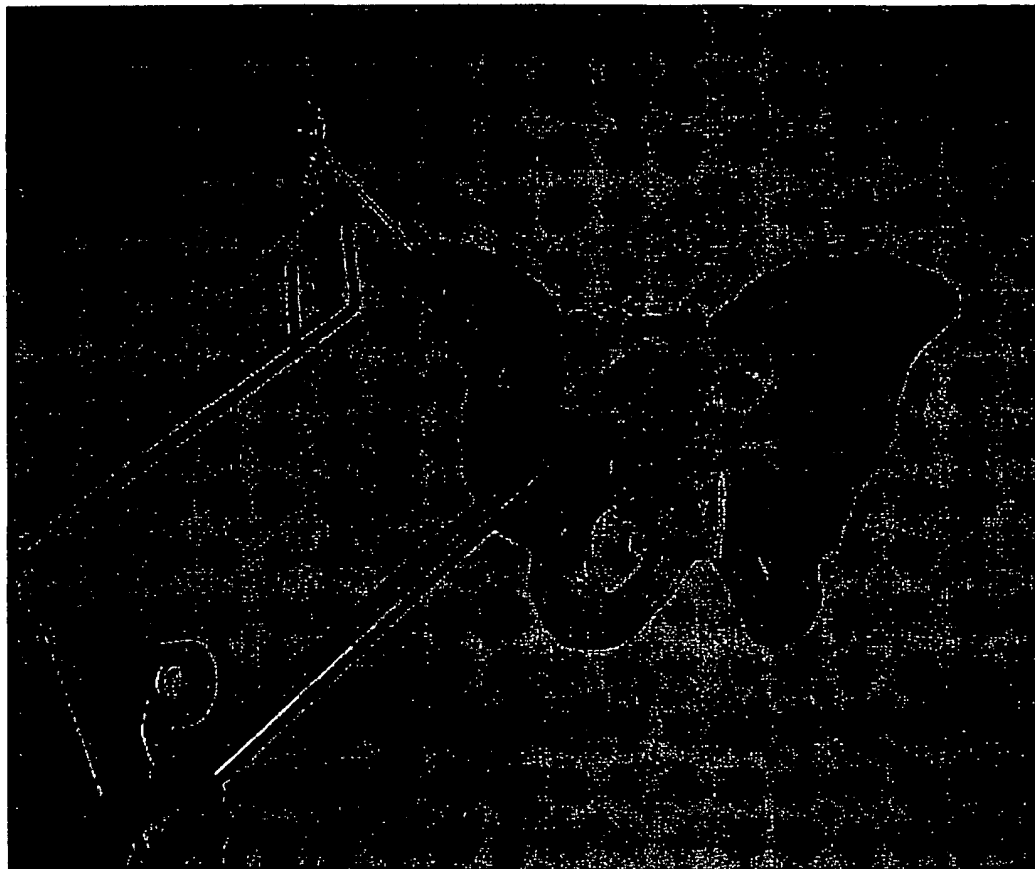


FIG. 15

INTERNATIONAL SEARCH REPORT

 International Application No
 PCT/CH2004/000466

 A. CLASSIFICATION OF SUBJECT MATTER
 IPC 7 A61F2/46

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 97/29698 A (FRANCOIS JEAN MARIE ; GODEFROY JEAN (FR); MOLE DANIEL (FR); BRESLER FR) 21 August 1997 (1997-08-21) page 6, line 26 - page 8, line 33 -----	1-4, 11, 12
X	GB 2 224 937 A (FARMER MALCOLM HUGH; DOYLE JAMES; HOOPER ANTHONY CONAL; MAHONY PATRICK) 23 May 1990 (1990-05-23) page 10, paragraph 2 - page 11, paragraph 1 page 13, paragraph 4 - page 15, paragraph 3 -----	1,5-7, 10,11, 14,16, 22,24-28
X	US 6 302 890 B1 (LEONE JR WILLIAM) 16 October 2001 (2001-10-16) cited in the application column 5, line 31 - column 7, line 27 ----- -/-	1,8



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

G document member of the same patent family

Date of the actual completion of the international search

30 November 2004

Date of mailing of the international search report

13/12/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
 NL - 2280 HV Rijswijk
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
 Fax: (+31-70) 340-3016

Authorized officer

Buchmann, G

INTERNATIONAL SEARCH REPORT

International Application No
PCT/CH2004/000466

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>US 6 395 005 B1 (LOVELL TIMOTHY PATRICK) 28 May 2002 (2002-05-28)</p> <p>column 4, line 6 - column 6, line 65 column 9, line 8 - line 45 -----</p>	<p>1,7,9, 13,15, 17-21, 23,27,28</p>
X	<p>DE 200 06 515 U (GOBIT GMBH) 10 August 2000 (2000-08-10) the whole document -----</p>	<p>1</p>

INTERNATIONAL SEARCH REPORT

 International Application No
 PCT/CH2004/000466

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9729698	A	21-08-1997	FR 2744629 A1	14-08-1997
			AU 1800197 A	02-09-1997
			CA 2245980 A1	21-08-1997
			DE 69725426 D1	13-11-2003
			EP 0880340 A1	02-12-1998
			WO 9729698 A1	21-08-1997
			US 6102915 A	15-08-2000
GB 2224937	A	23-05-1990	IE 880739 A1	20-02-2002
			US 5141512 A	25-08-1992
US 6302890	B1	16-10-2001	US 6623488 B1	23-09-2003
US 6395005	B1	28-05-2002	NONE	
DE 20006515	U	10-08-2000	DE 20006515 U1	10-08-2000

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ BLACK BORDERS

☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

☒ FADED TEXT OR DRAWING

☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING

☐ SKEWED/SLANTED IMAGES

☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS

☐ GRAY SCALE DOCUMENTS

☐ LINES OR MARKS ON ORIGINAL DOCUMENT

☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.